New Results from *SU*(2) and *SU*(3) Gauge Matrix Models

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Pure Yang-Mills Theory

- Quantization and Spectrum of YM Matrix Model
- 3 Variation Estimate of Energies
- 4 Comparison with Lattice Data
- 5 Including Quarks
- 6 Born-Oppenheimer Approximation
- 7 Fermion Energies
- 8 Quantum Phases of SU(2) Yang-Mills-Dirac Theory



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• What are the physical states of QCD?

- Wide implications: confinement, chiral symmetry breaking, color superconductivity, hadron masses,
- Recall that the SU(N) Yang-Mills action is

$$S_{YM} = -rac{1}{2g^2}\int d^4x\, {
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- The gauge symmetry $A_{\mu} \mapsto uA_{\mu}u^{-1} + u\partial_{\mu}u^{-1}$, $u(x) \in SU(N)$ is actually a redundancy.
- The configuration space C = All gauge fields A modulo all gauge transformations G.

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• Gauge symmetry: nonholonomic constraints.

- The configuration space C has non-trivial topology.
- Non-Abelian makes it non-linear: $[A_{\mu}, A_{\nu}]^2$ term.
- It is an infinite-dimensional dynamical system.

Gauge theory is difficult because of all the above! Approximation by a simpler model? Many suggestions ····

- Chiral Lagrangians, Nambu-Jona-Lasinio model ····
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- Restrict to a subset \mathcal{M} of gauge fields: keep only the left-invariant ones.
- Remarkably, these form a finite-dimensional space $M_{3,N^2-1}(\mathbb{R})$.
- Gauge group G is also now finite-dimensional: ad SU(N).
- This approximation captures (some of) the constraints, nonlinearity, and underlying topology!
- $\mathcal{C} = \mathcal{M}/\mathrm{ad} \; SU(N).$
- We will study this model both at strong coupling (g large) as well as weak coupling (g → 0).

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The construction is simple and elegant (Narasimhan-Ramadas 1980):

- Start with the Maurer-Cartan form Ω of SU(N).
- Pullback of Ω to to S^3 gives the left-invariant gauge field M_{ia} , $i = 1, 2, 3; a = 1, \dots N^2 1$.
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- dim(C) is $3(N^2 1) (N^2 1) = 2(N^2 1)$ (not so at fixed points).
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- Quantisation: $[M_{ia}, p_{jb}] = i\delta_{ij}\delta_{ab}$.
- The Hamiltonian is

$$H = \frac{1}{R} \left(\frac{g^2 p_{ia} p_{ia}}{2} + B_{ia} B_{ia} \right) = \frac{1}{R} \left(-\frac{g^2}{2} \sum_{i,a} \frac{\partial^2}{\partial M_{ia}^2} + V(M) \right)$$

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$$H = \frac{1}{R} \left(\frac{g^2 p_{ia} p_{ia}}{2} + B_{ia} B_{ia} \right) = \frac{1}{R} \left(-\frac{g^2}{2} \sum_{i,a} \frac{\partial^2}{\partial M_{ia}^2} + V(M) \right)$$

- The overall factor of *R* comes from dimensional analysis.
- The physical states $|\psi_{phys}\rangle$ are given by $G_a |\psi_{phys}\rangle = 0$.

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- Perturbation theory is not analytic at g = 0.
- We estimate the energies by variational calculation instead.
- Choose colorless eigenstates of *H*₀ as trial wavefunctions, organized by this spin.
- Energies depend on g, R, and possibly an overall additive constant c (zero point energy): $\mathcal{E}_n[s] = \frac{f_n^{(s)}(g) + c(R)}{R}$
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Energy Difference Ratios

• Remarkably, we find that the ratios of energy differences become independent of *g* for large *g*.

Ratios of mass differences $\frac{\mathcal{E}(X) - \mathcal{E}(0^+)}{\mathcal{E}(2^+) - \mathcal{E}(0^+)}$ as a function of g. (The black, blue and red curves represent spin-0, spin-1 and spin-2 levels respectively.)



• $X(J^C) = 2^+, 0^+, 2^+, 0^{*+}, 1^-, 2^{*+}, 1^-, 0^{*+}, 2^-$.



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• Neither *R* nor the bare coupling *g* are directly measurable.

- For sensible results as $R \to \infty$, make g a function of R such that all energies have well-defined values in this limit.
- Make g = g(R) by fixing \$\mathcal{E}_0[2] \mathcal{E}_0[0]\$ to the observed (lattice) value.
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Integrated Renormalization Group Equation

• In practice it is easier to make $R(g) = \frac{\mathcal{E}_0[2] - \mathcal{E}_0[0]}{m(2^+) - m(0^+)}$.





• Here we have used $m(2^+) - m(0^+) = 460$ MeV.

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• Actual numerical values of masses also need asymptotic c(R)/R.

- Fix the physical mass of our lowest glueball to be within the range predicted by lattice simulations (1580 1840 MeV).
- Choosing 1050 MeV for asymptotic c(R)/R, we get the best fit with lattice predictions.



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Comparison with Lattice Data

Glueball states ر	Physical masses from matrix model (MeV)	Physical masses from lattice QCD (MeV)
0+	1757.08 [†]	1580 - 1840
2+	2257.08 [†]	2240 - 2540
0+	2681.45	2405 - 2715
0*+	3180.82	2360 - 2980
1-	3235.41	2810 - 3150
2+	3054.97	2850 - 3230
0*+	3568.02	3400 - 3880
1-	3535.66	3600 - 4060
2*+	3435.75	3660 - 4120
2-	4050.14	3765 - 4255

$^{\dagger} \equiv$ (input)

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Glueball Masses (MeV)



■ = Lattice • = Matrix Model. 0^{++} and 2^{++} are used in Matrix Model input.

For 0^{*++} , lattice has poor statistics near the continuum limit, so finite volume effects are substantial.

For 2^{*++} , lattice has large errors due to the presence of two other glueball states in the vicinity.

THESE ASYMPTOTIC VALUES AGREE WELL WITH LATTICE PREDICTIONS FOR GLUEBALL MASSES.



MatrixYM, Glueballs, Mass Spectrum

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But you ask: What about the quarks?

- We will consider massless fundamental fermions (quarks!) coupled to the *SU*(2) matrix model.
- The fundamental fermion $\lambda_{\alpha a} \equiv \lambda_A$ couples to the gauge field via

$$H^{\rm ff} \equiv \left(-(\lambda_A)^{\dagger} \lambda_A - \frac{1}{2} (\tau_b)_{AC} (\lambda_A)^{\dagger} \sigma_i \lambda_C M_{ib} \right) = (\lambda_A)^{\dagger} \mathcal{H}_{AB}^{\rm ff} \lambda_B.$$

• The first term is curvature term on *S*³. We ignore it henceforth, it only contributes an additive constant to the energy.



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- Solve $H\psi^E = E\psi^E$.
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- First treat the gauge field as a (background) fixed field and quantize the fermions.
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- This is same as Born-Oppenheimer in, say, molecular physics:
- "Slow" nuclear variables \leftrightarrow gauge field M_{ia} .
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The scalar potential Φ is versatile, appears in diverse settings.
Related to the real part of the *quantum geometric tensor*

$$G_{IJ} = \frac{1}{g_0} \operatorname{Tr}[P(\partial_I P)(\partial_J P)P] = g_{IJ} + \frac{i}{2}F_{IJ},$$

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- g_{IJ} is a Riemannian metric, a measure of distance between pure states represented by projectors $P(x_I)$ and $P(x_I + dx_I)$.
- For adiabatic evolution, it is a measure of operator fidelity between the adiabatic Hamiltonian and the true Hamiltonian.
- Φ (or g_{IJ}) is used to hunt for quantum phase transitions (QPTs), as the latter often defy the standard Landau-Ginzburg paradigm.



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- For adiabatic evolution, it is a measure of operator fidelity between the adiabatic Hamiltonian and the true Hamiltonian.
- Φ (or g_l) is used to hunt for quantum phase transitions (QPTs), as the latter often defy the standard Landau-Ginzburg paradigm.



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Φ for YM fermions

- We will compute Φ for fundamental fermions coupled to the Yang-Mills field M_{ia}.
- For the 1-fermion states $|\psi^{(1)}\rangle = \sum_A c_A(M)(\lambda_A)^{\dagger}|0\rangle$, the equation $H^{ff}|\psi^{(1)}\rangle = E|\psi^{(1)}\rangle$ becomes:

$$\mathcal{H}_{AB}^{ff}c_B = Ec_A, \quad \mathcal{H}^{ff} = -rac{1}{2}\sigma_i \otimes au_a M_{ia}$$

• We therefore investigate

$$\mathsf{det}(\mathcal{H}^{\mathit{ff}}_{\mathit{AB}}-\lambda\mathbb{I})=0$$

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MatrixYM, Glueballs, Mass Spectrum

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Fundamental Fermions

• The characteristic equation (with $x = \frac{E}{(\frac{1}{3} \operatorname{Tr} M^T M)^{1/2}}$) is

$$x^4 - \frac{3}{2}x^2 - \mathbf{g}x + \mathbf{h} = 0$$

where

$$\mathbf{g} \equiv \frac{\det M}{\left(\frac{1}{3}\mathrm{Tr}(M^{\mathsf{T}}M)\right)^{3/2}}, \qquad \mathbf{h} \equiv \frac{1}{16} \left[\frac{2\mathrm{Tr}(M^{\mathsf{T}}M)^2}{\left(\frac{1}{3}\mathrm{Tr}(M^{\mathsf{T}}M)\right)^2} - 9\right]$$



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• Since \mathcal{H}^{ff} is manifestly Hermitian, it has only real roots.

- The conditions for this come from Sylvester's theorem: one condition is that the discriminant ∆ of x⁴ ³/₂x² gx + h must be non-negative.
- This gives us an unexpected identity obeyed by 3 × 3 real matrices:

 $27g^2 - 54g^4 + 162h - 432g^2h - 576h^2 + 512h^3 \ge 0$



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- At the top corner, the degeneracy structure is (2,2).
- At the two corners at the bottom, the degeneracy structure is (3, 1).



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2 Weyl fermions



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$$P_2(x) = x^6 - 3x^4 + 4x^2\left(rac{9}{16} - \mathbf{h}
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• This gives us the effective potential

$$\Phi_{bulk}^{(2)} = \frac{6}{\mathbf{f}^2} \frac{-x_1^6 + 5x_1^4 + 4(9/16 - \mathbf{h})(1 - 7x_1^2/3)}{(3x_1^4 - 6x_1^2 + 4(9/16 - \mathbf{h}))^2}, \quad \mathbf{f}^2 = \frac{1}{3} \mathrm{Tr} M^T M.$$

where $x_1(\mathbf{g}, \mathbf{h})$ is the smallest root of P_2 .

• The ground state degeneracy changes from 1 to 2 at the edge *BC*, and to 3 at the corner *B*. At the edge *BC*:

$$\Phi_{edge}^{(2)} = \frac{2}{9f^2} \frac{9 - 6x_1^2 + 5x_1^4}{x_1^2(1 - x_1^2)^2} \to \frac{2}{9a^2} \frac{1}{(1 + x_1)^2}$$

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$$\Phi_{corner}^{(2)} = \frac{1}{a^2}$$

- We see that the Hilbert space for gauge dynamics has split into 3 regions:
- Inside the bulk, it is governed by $\Phi_{bulk}^{(2)}$, which diverges as we approach the edge *BC* or the corner *B*.
- On the edge *BC*, the dynamics is governed by $\Phi_{edge}^{(2)}$, which diverges as we approach the corner *B*.
- At the corner *B*, the dynamics is governed by $\Phi_{corner}^{(2)}$.
- The effective scalar potential is not analytic in the full region ABC.

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Scalar potential for 2 Weyl fermions





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- These are superselected: states in one phase cannot be obtained as superpositions of states from other sectors.
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Kolkata, December 2018

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- It captures the non-trivial topological character of the full gauge bundle.
- The canonical quantisation can be carried out, and the spectrum of the full Hamiltonian can be estimated variationally.
- In the large R limit, the eigenvalues tend to non-trivial asymptotic values provided g(R) is chosen appropriately (our RG prescription).
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- Investigate the glueball spectrum for SU(4), SU(5), SU(6), ···.
- Include fermions (quarks), and try to get the masses of light hadrons.
- Include the θ -term, and compute topological susceptibility χ_t .
- Relation between χ_t and the mass of η' .
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This is joint work with

- Nirmalendu Acharyya, AP Balachandran, Mahul Pandey, Sambuddha Sanyal, G. Mohankarthik
- Lattice data is taken from Morningstar and Peardon, Phys. Rev D 56, 4043 (1997); Chen *et al* Phys. Rev D. 73 014516 (2006).



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f_{abc} and d_{abc} are the structure constants of SU(3).

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Spin-1

$$\begin{split} |\psi_{1}^{\dagger}\rangle &= d_{abc}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{la}^{\dagger}|0\rangle \\ |\psi_{2}^{\dagger}\rangle &= \epsilon_{jkl}d_{ab_{1}c_{1}}f_{ab_{2}c_{2}}A_{lb_{1}}^{\dagger}A_{lc_{1}}^{\dagger}A_{kb_{2}}^{\dagger}A_{lc_{2}}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= d_{ace}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{kc}^{\dagger}A_{ke}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= d_{ace}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{kc}^{\dagger}A_{ke}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= d_{ace}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{ke}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= d_{ace}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{ke}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= d_{ace}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{ke}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= d_{ace}A_{lb}^{\dagger}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{kc}^{\dagger}A_{ke}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= \epsilon_{jkl}d_{abc}f_{ade}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{kd}^{\dagger}A_{lc}^{\dagger}A_{la}^{\dagger}A_{lc}^{\dagger}A_{kc_{2}}^{\dagger}A_{kc_{2}}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= \epsilon_{jk}d_{ab_{1}c_{1}}d_{aa_{2}b_{2}}A_{la_{1}}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{lb}^{\dagger}A_{lc}^{\dagger}A_{kc_{2}}^{\dagger}A_{lb_{2}}^{\dagger}|0\rangle \\ |\psi_{3}^{\dagger}\rangle &= \epsilon_{jk}d_{ab_{1}c_{1}}d_{ab_{2}c_{2}}A_{la}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{lb}^{\dagger}A_{la_{2}}^{\dagger}A_{lb_{2}}^{\dagger}|0\rangle \\ |\psi_{1}^{\dagger}\rangle &= \epsilon_{ijk}d_{ab_{1}c_{1}}d_{bb_{2}c_{2}}A_{la}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{lb}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}|0\rangle \\ |\psi_{1}^{\dagger}\rangle &= \epsilon_{ijk}d_{ab_{1}c_{1}}d_{bb_{2}c_{2}}A_{la}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{lb}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}|0\rangle \\ |\psi_{1}^{\dagger}\rangle &= \epsilon_{ijk}d_{ab_{1}c_{1}}d_{bb_{2}c_{2}}A_{la}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{la}^{\dagger}A_{lb}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}A_{b}^{\dagger}|0\rangle \\ |\psi_{1}^{\dagger}\rangle &= \epsilon_{ijk}d_{ab_{1}c_{1}}d_{bb_{2}c_{2}}A_{b}^{\dagger}A_{b}^$$

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$$\begin{split} |\psi_{1}^{2}\rangle &= (A_{ia}^{\dagger}A_{ja}^{\dagger} - \frac{1}{3}\delta_{ij}A_{ia}^{\dagger}A_{ja}^{\dagger})|0\rangle \\ |\psi_{2}^{2}\rangle &= A_{i_{1}a_{1}}^{\dagger}A_{i_{1}a_{1}}^{\dagger}(A_{ia_{2}}^{\dagger}A_{ja_{2}}^{\dagger} - \frac{1}{3}\delta_{ij}A_{ia_{1}}^{\dagger}A_{ja_{2}}^{\dagger}A_{ja_{2}}^{\dagger})|0\rangle \\ |\psi_{3}^{2}\rangle &= (A_{ia_{1}}^{\dagger}A_{i_{1}a_{1}}^{\dagger}A_{ia_{2}a_{2}}^{\dagger}A_{ia_{2}a_{2}}^{\dagger}A_{ia_{2}a_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{2}a_{2}}^{\dagger}A_{ia_{2}a_{2}}^{\dagger}A_{ia_{2}a_{2}}^{\dagger}A_{ia_{2}a_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{2}}^{\dagger}A_{ia_{1}}^{\dagger}A_{ia_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{1}a_{1}}^{\dagger}A_{ia_{2}}^{$$

S. Vaidya (IISc)

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New Identities

We discovered some (new?) identities involving 3×8 matrices:

$$Tr(M^{T}MD_{a}M^{T}MD_{a}) = -\frac{1}{2}Tr(M^{T}MD_{a})Tr(M^{T}MD_{a}) + \frac{2}{3}Tr(M^{T}MM^{T}M) + \frac{1}{3}Tr(M^{T}M)^{2}$$

$$\epsilon_{ijk}f_{abc}M_{ia}M_{jb}(MM^{T}M)_{kc} = \frac{1}{3}\epsilon_{ijk}f_{abc}M_{ia}M_{jb}M_{kc}Tr(M^{T}M)$$

where $(D_a)_{bc} \equiv d_{abc}$.



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