
Towards a Phase Space Description of Gravity

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A Phase space formulation

- ▶ **String theory in $AdS_5 \times S^5$ is dual to a gauge theory in 4 dimensions.**

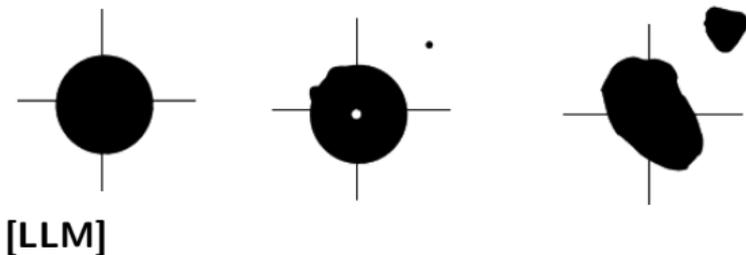
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- ▶ **A local diffeomorphism invariant theory in one higher dimension is encoded in the dynamics of the gauge theory.**
- ▶ **Is there any natural way in the gauge theory to understand the diffeomorphism redundancy in gravity ?**

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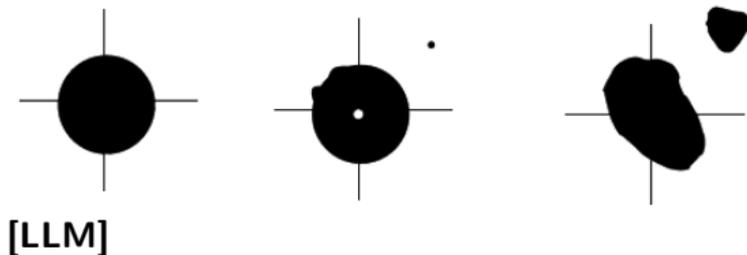
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- ▶ **A local diffeomorphism invariant theory in one higher dimension is encoded in the dynamics of the gauge theory.**
- ▶ **Is there any natural way in the gauge theory to understand the diffeomorphism redundancy in gravity ?**
- ▶ **A hint came from the work of *Lin-Lunin-Maldacena* in 2004.**
- ▶ **Geometry of a special class of solutions of the bulk theory is completely determined by specifying a "shape" in dimensions spanned by two of the bulk coordinates (x_1, x_2) .**



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- ▶ Geometry of a special class of solutions of the bulk theory is completely determined by specifying a "shape" in dimensions spanned by two of the bulk coordinates (x_1, x_2) .



- ▶ This means the full bulk geometry is determined by the the shape of this 2D droplet.

A Phase space formulation

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- ▶ Questions
 - ▶ Can phase space description of gauge theories be used to provide a dual quantum mechanical description of string degrees of freedom ?

A Phase space formulation

- ▶ LLM identified this 2D droplet with the phase space on the boundary side which captures the dynamics of boundary gauge theory.
- ▶ Questions
 - ▶ Can phase space description of gauge theories be used to provide a dual quantum mechanical description of string degrees of freedom ?
 - ▶ In this talk :
 - ▶ How to construct a collective N particle description of a generic class of gauge theory and the corresponding phase space picture ?
 - ▶ What are the coordinate and momenta of these N particles ?
 - ▶ Hamiltonian ?
 - ▶ Constraints on large N representations for Chern-Simons theories.

Gauge theory as unitary matrix model

- ▶ Gauge theories on compact manifolds can be written as a unitary matrix model.
- ▶ For example, the thermal partition function of a gauge theory, coupled with matter and gauge group $SU(N)$ on a compact manifold $S^p \times S^1$ can be written as

$$Z = \int [DU] e^{S(U)}, \quad S(U) = \sum_{\vec{n}=0}^{\infty} \frac{a_{\vec{n}}(T, \lambda)}{N^k} \prod_{i=1}^k \text{Tr} U^{n_i}, \quad \sum_i n_i = 0.$$

$$U = \exp[i \int_{S^1} \mathcal{A}_0], \quad \mathcal{A}_0 = \frac{1}{V_{S^p}} \int_{S^p} A_0$$

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- ▶ A particular class of unitary matrix model known as plaquette model is given by

$$\mathcal{Z} = \int \mathcal{D}U \exp \left[N \sum_{n=0}^{\infty} \frac{\beta_n}{n} (\text{Tr}[U^n] + \text{Tr}[U^{\dagger n}]) \right].$$

Unitary matrix model

- ▶ **Unitary matrix model plays an important role in physics and mathematics.**
- ▶ **The field was initiated almost a century ago by statisticians and introduced in physics in the 50s-60s by Wigner and Dyson.**
- ▶ **Partition functions of different super-symmetric gauge theories, in particular Chern-Simons theories on certain manifolds boil down to UMMs.**
- ▶ **Partition functions of 2D gravity/string theory can also be written in terms of Hermitian matrix models.**
- ▶ **These models also have applications in a broad class of condensed matter systems.**
- ▶ **There exists a strong similarity between non-trivial zeros of $\zeta(s)$ function and eigenvalues of unitary matrix.**

Unitary matrix model

- ▶ An unitary matrix model is a statistical ensemble of unitary matrices, defined by the partition function

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$S(U)$: Action, $\mathcal{D}U \rightarrow$ measure.

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$S(U)$: Action, $\mathcal{D}U \rightarrow$ measure.

- ▶ Both $\mathcal{D}U$ and $S(U)$ are invariant under unitary transformation.
- ▶ One can go to a diagonal basis

$$U \rightarrow e^{i\theta_i} \delta_{ij}, \quad \theta_i \text{'s are eigenvalues of } U.$$

- ▶ In this basis the Haar measure and the action can be written as

$$\int [\mathcal{D}U] = \prod_{i=1}^N \int_{-\pi}^{\pi} d\theta_i \prod_{i < j} \sin^2 \left(\frac{\theta_i - \theta_j}{2} \right), \quad S(U) = S(\{\theta_i\}).$$

Unitary matrix model

- ▶ The partition function is given by (for plaquette model)

$$\begin{aligned} \mathcal{Z} &= \int \mathcal{D}U \exp \left[N \sum_{n=0}^{\infty} \frac{\beta_n}{n} (\text{Tr}[U^n] + \text{Tr}[U^{\dagger n}]) \right] \\ &= \int \prod_i [d\theta_i] e^{-NS_{\text{eff}}(\{\theta_i\})}, \end{aligned}$$

where

$$S_{\text{eff}}(\{\theta_i\}) = - \sum_{i=1}^N \sum_{n=1}^{\infty} \frac{2\beta_n}{n} \cos n\theta_i - \frac{2}{N} \sum_{i \neq j} \ln \left| \sin \frac{\theta_i - \theta_j}{2} \right|$$

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- ▶ It describes a system of N particles interacting by the two-dimensional repulsive potential $\ln |\sin(\theta_i - \theta_j)/2|$ in the common potential

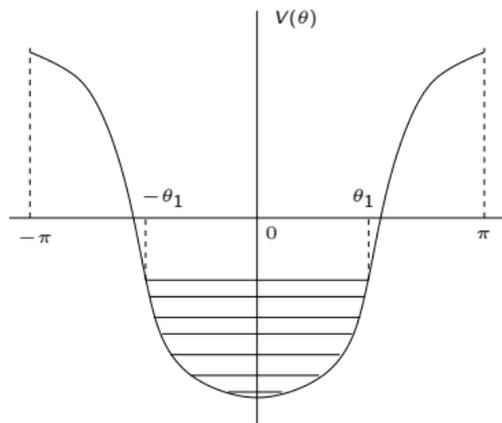
$$V(\theta_i) = - \sum_{n=1}^{\infty} \frac{2\beta_n}{n} \cos n\theta_i, \quad \forall i.$$

Unitary matrix model

- ▶ Because of the strong repulsive potential $\ln |\sin(\theta_i - \theta_j)/2|$ two particles do not come close to each other.
- ▶ If we neglected the repulsive force, all eigenvalues would sit at the minima of the potential $V(\theta)$.
- ▶ Due to the Coulomb repulsion they are spread around these minima and fill some finite intervals.

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- ▶ Due to the Coulomb repulsion they are spread around these minima and fill some finite intervals.
- ▶ N Fermions moving in potential $V(\theta)$.
- ▶ In large N limit, partition function is dominated by minimum energy configuration.



Eigenvalue distribution.

Unitary matrix model

- ▶ **The saddle point equation**

Eigenvalue distribution is governed by the following equation

$$\frac{\partial S[\theta_i]}{\partial \theta_i} = 0,$$

which is given by,

$$\sum_{\substack{j=1 \\ j \neq i}}^N \cot\left(\frac{\theta_i - \theta_j}{2}\right) = S'[\theta_i].$$

- ▶ **In large N limit we define continuous variables**

$$\theta(x) = \theta_i, \quad x = \frac{i}{N}, \quad x \in [0, 1].$$

- ▶ **The saddle point equation becomes**

$$\int d\theta' \rho(\theta) \cot\left(\frac{\theta - \theta'}{2}\right) = S'(\theta), \quad \rho(\theta) = \lim_{\Delta x \rightarrow 0} \frac{\Delta x}{\Delta \theta}.$$

Unitary matrix model - an example

- ▶ Consider plaquette model with $\beta_1 \neq 0$ and $\beta_{n>1} = 0$. *Gross-Witten potential*
- ▶ Action is given by

$$\begin{aligned} S(U) &= N [\beta_1 (\text{Tr} U + \text{Tr} U^\dagger)] \\ &= N \sum_{i=1}^N 2\beta_1 \cos \theta_i \\ &= -N^2 \int d\theta \rho(\theta) V(\theta). \end{aligned}$$

- ▶ The potential $V(\theta)$ is given by

$$V(\theta) = -2\beta_1 \cos \theta.$$

Unitary matrix model - an example

- ▶ We categorize different classes according to gaps in eigenvalue distributions.

No-gap phase : Two possibilities

- ▶ Potential $V(\theta) = 0$, $\beta_1 = 0$.
- ▶ All the eigenvalues are uniformly distributed on circle due to coulomb repulsion.

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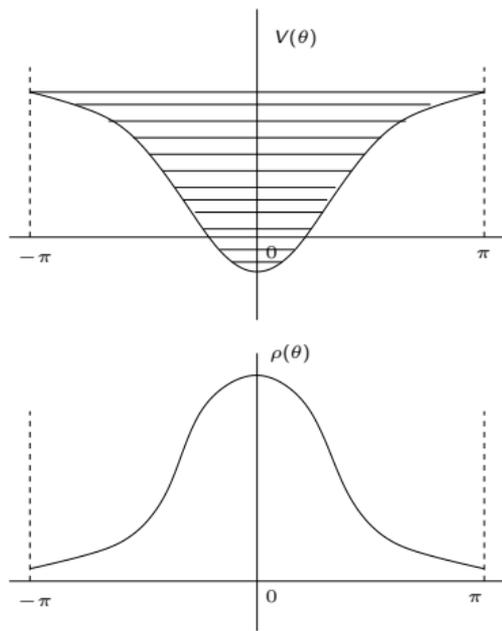
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- ▶ Potential $V(\theta) \neq 0$, but depth is less - can not accommodate N fermions.

$$\rho(\theta) = \frac{1}{2\pi} (1 + 2\beta_1 \cos \theta) \text{ for } \theta \in [-\pi, \pi].$$
$$0 < \beta_1 < 1/2.$$



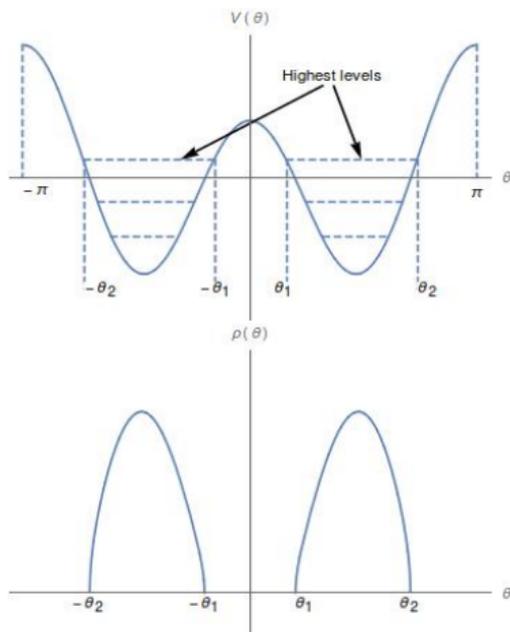
Unitary matrix model : other examples

Two-gap phase

- ▶ **Potential looks like a double-well type.**
- ▶ **In large N limit, lowest energy configuration : lowest energy states are symmetrically filled in both the wells.**
- ▶ **Eigenvalue density is given by**

$$\rho(\theta) = -\frac{\beta_2}{\pi} |\sin \theta| \sqrt{(\cos \theta_2 - \cos \theta)(\cos \theta - \cos \theta_1)}$$

$\beta_2 < 0$ and θ_1, θ_2 are determined in terms of β_1 and β_2 .



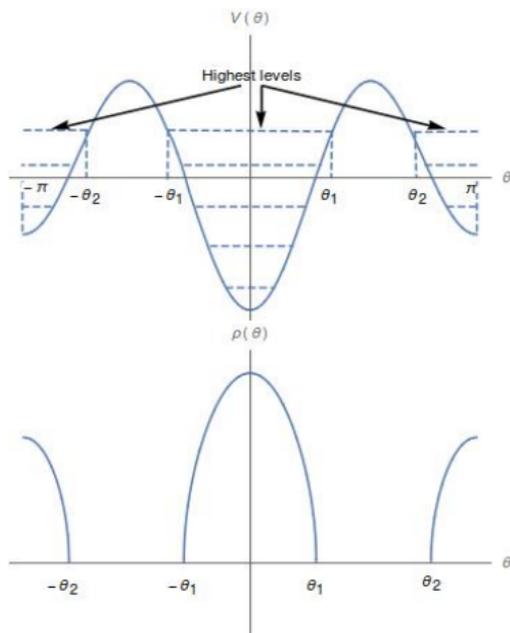
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Two-gap phase

- ▶ **Potential has minima at $\theta = 0$ and $\theta = \pi$.**
- ▶ **In large N limit, lowest energy configuration : lowest energy states are symmetrically filled in both the wells.**
- ▶ **Eigenvalue density is given by**

$$\rho(\theta) = \frac{1}{\pi} \sqrt{(\cos \theta_2 - \cos \theta)(\cos \theta_1 - \cos \theta)} [\beta_1 + \beta_2(2 \cos \theta + \cos \theta_1 + \cos \theta_2)]$$

$\beta_2 > 0$ and θ_1, θ_2 are determined in terms of β_1 and β_2 .



Partition function in momentum basis

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- ▶ Any representation of $SU(N)$ can be described in terms Young diagrams with maximum N number of rows.

$$\mathcal{Z} = \sum_R e^{S[R]} = \int \prod_{i=1}^N dh_i e^{-NS[h_i]}$$

$h_i = n_i + N - i$, n_i number of boxes in i -th row of a Young diagram.

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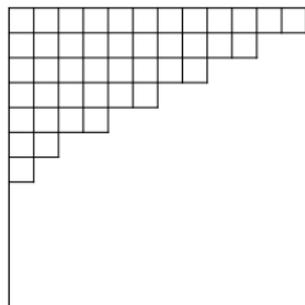
$h_i = n_i + N - i$, n_i number of boxes in i -th row of a Young diagram.

- ▶ Later we shall see that h_i s play the role of momenta.

Young diagram distribution for GWW case

- ▶ For $\beta_1 < 1/2$, $u(h)$ saturates the maximum value in a finite range of h

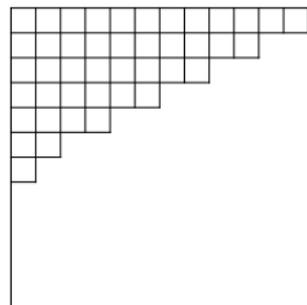
$$u(h) = 1, \quad 0 \leq h \leq 1 - 2\beta_1.$$
$$= \frac{1}{\pi} \cos^{-1} \left[\frac{h-1}{2\beta_1} \right], \quad 1 - 2\beta_1 \leq h \leq 1 + 2\beta_1$$



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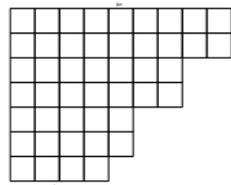
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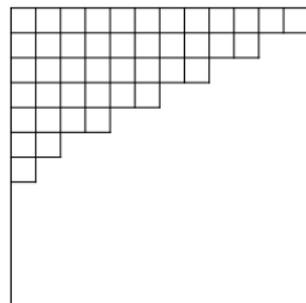
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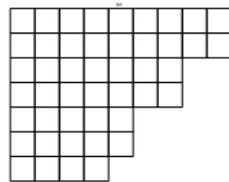
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$$\beta_1 + \frac{1}{2} - \sqrt{2\beta_1} \leq h \leq \beta_1 + \frac{1}{2} + \sqrt{2\beta_1}$$



- ▶ There exists a Douglas-Kazakov type phase transition between these saddle points as one varies the parameter β_1 .

Relation between Eigenvalue and Young diagram distribution

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- We observed that there exists a surprising relation between eigenvalue distribution and Young tableaux distribution for different phases of the theory.

$$\rho(\theta) = \frac{h_+ - h_-}{2\pi} \quad \text{and} \quad u(h) = \theta/\pi \quad \text{where} \quad h_{\pm} = \frac{1}{2} + \beta_1 \cos \theta \pm \pi \rho(\theta).$$

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- ▶ Eigenvalue distribution and Young tableaux distribution can be expressed in a unified way in terms of a single constant distribution function $\omega(h, \theta)$ such that,

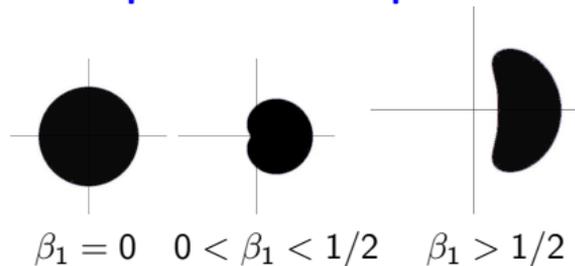
$$\rho(\theta) = \int_0^{\infty} \omega(h, \theta) dh, \quad u(h) = \int_{-\pi}^{\pi} \omega(h, \theta) d\theta$$

where $\omega(h, \theta)$ is a distribution in a two dimensions.

$$\omega(h, \theta) = \frac{1}{2\pi} \Theta[(h_+ - h)(h - h_-)].$$

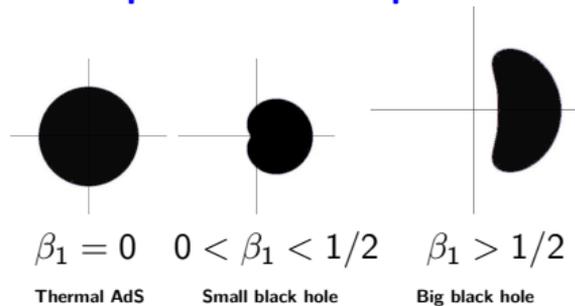
Relation between Eigenvalue and Young diagram distribution

► 2D droplets for GWW potential



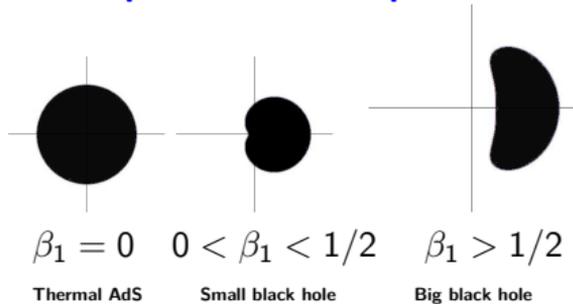
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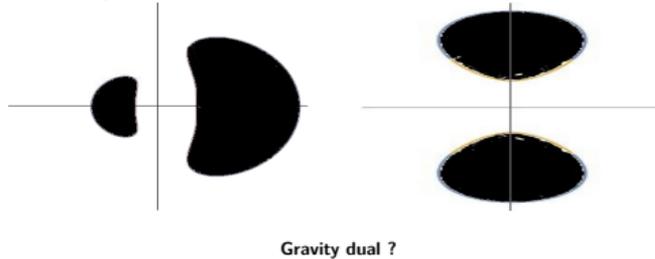


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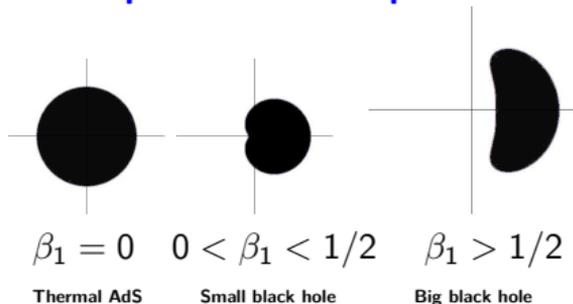


▶ 2D droplets in general (for two gap phase)

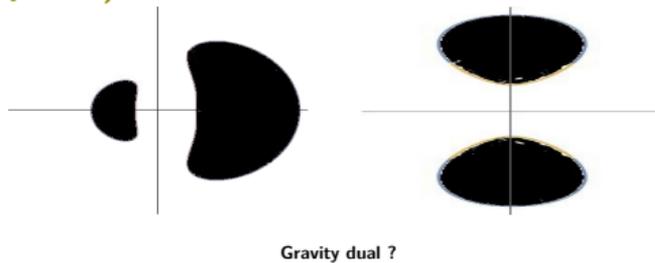


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▶ 2D droplets in general (for two gap phase)



- ▶ These droplets are similar to Wigner distribution in Thomas-Fermi model.
- ▶ All the states inside/outside this region are filled/empty.
- ▶ Thus boundary defines fermi surface in (h, θ) plane.
- ▶ Topology/shape of fermi surface is different for different phases of the model.
- ▶ Phase transition corresponds to change of topology of fermi region.

Collective field theory Hamiltonian

[A. Chattopadhyay, P. Dutta, SD]

- ▶ From phase space distribution we define a Hamiltonian density

$$\omega(h, \theta) = \frac{1}{2\pi} \Theta[(h_+ - h)(h - h_-)] = \frac{1}{2} \Theta(\mu - \mathfrak{h}(h, \theta))$$

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- ▶ Momentum density $S(\theta)$ can be written from phase space distribution

$$S(\theta) = \frac{1}{2\pi\rho} \int_0^\infty dh h \omega(h, \theta) = \frac{h_+(\theta) + h_-(\theta)}{2}.$$

- ▶ The Hamiltonian can also be written as

$$H_h = \int d\theta \left(\frac{S^2 \rho}{2} + \frac{\pi^2 \rho^3}{6} \right) + V_{\text{eff}}(\rho) + \mu$$

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- ▶ Collective field theory of $\rho(\theta, t)$. The Hamiltonian is given by, [Jevicki-Sakita; Das-Jevicki]

$$H_B = \int d\theta \left(\frac{1}{2} \frac{\partial \pi(t, \theta)}{\partial \theta} \rho(t, \theta) \frac{\partial \pi(t, \theta)}{\partial \theta} + \frac{\pi^2 \rho^3(t, \theta)}{6} + V_{\text{eff}}(\theta) \rho(t, \theta) \right), \quad S(\theta) = \partial_\theta \pi$$

Chern-Simons matter systems : The partition function

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- ▶ We consider CS theory on $S^2 \times S^1$ interacting with matter in fundamental representations. Partition function of this theory is given by

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Jain, Minwalla, Sharma, Takimi, Wadia, Yokoyama; Takimi

$$\mathcal{Z} = \int [DA][D\mu] e^{i \frac{k}{4\pi} \text{Tr} \int (AdA + \frac{2}{3} A^3) - S_{matter}}, \quad D\mu \text{ is the matter field measure}$$

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- ▶ Integrate out the matter fields

$$\mathcal{Z} = \int [DU] \exp[S(U)], \quad S(U) : \text{Action, form depends on matter field}$$

where $U(x) = \exp[\beta A_3]$ is the two dimensional holonomy field around the thermal circle S^1 .

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Aharony, Giombi, Gur-Ari, Maldacena, Yacoby

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$$\mathcal{Z} = \int [DA][D\mu] e^{i \frac{k}{4\pi} \text{Tr} \int (AdA + \frac{2}{3} A^3) - S_{matter}}, \quad D\mu \text{ is the matter field measure}$$

- ▶ Integrate out the matter fields

$$\mathcal{Z} = \int [DU] \exp[S(U)], \quad S(U) : \text{Action, form depends on matter field}$$

where $U(x) = \exp[\beta A_3]$ is the two dimensional holonomy field around the thermal circle S^1 .

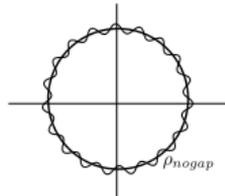
- ▶ However, eigenvalue density has an upper-cap

$$0 \leq \rho(\theta) \leq \frac{1}{2\pi\lambda}, \quad \lambda = \frac{N}{k+N}$$

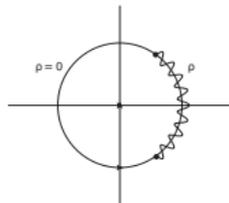
Chern-Simons matter systems : Gross-Witten-Wadia matter

Jain, Minwalla, Sharma, Takimi, Wadia, Yokoyama; Takimi

1. No-gap phase



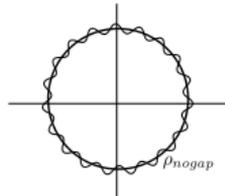
2. One-gap phase



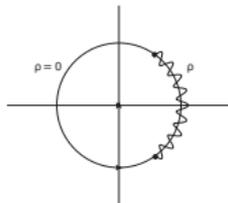
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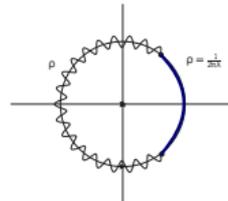
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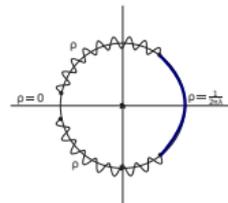
2. One-gap phase



3. Upper-cap phase



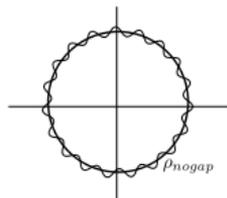
4. Cap-gap phase



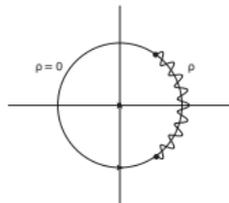
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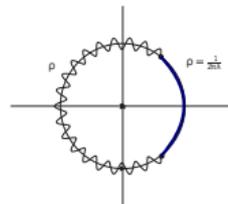
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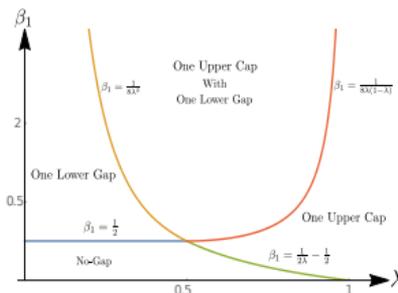
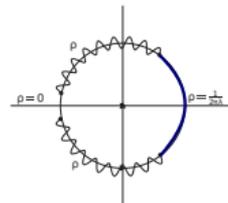
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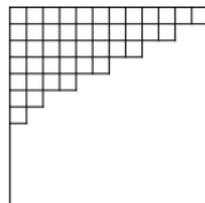
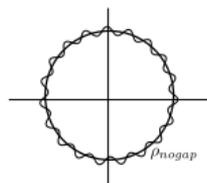
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Representation for phases of CS-M theory

[A. Chattopadhyay, P.Dutta, SD 2018]

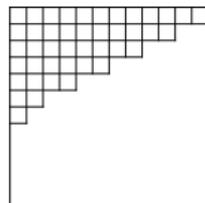
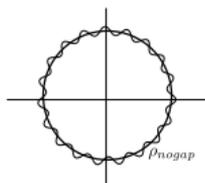
► No-gap phase



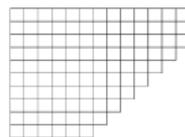
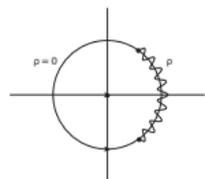
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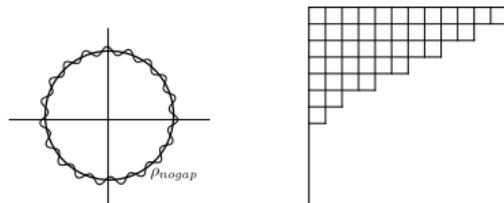
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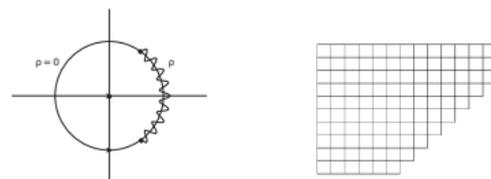
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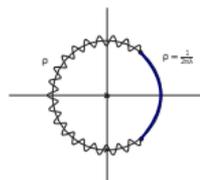
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► Upper-cap phase



?

Constraint on Young diagrams for CS-M theory on $S^2 \times S^1$

[A. Chattopadhyay, P.Dutta, SD 2018]

$$\rho(\theta) = \frac{h_+ - h_-}{2\pi}$$

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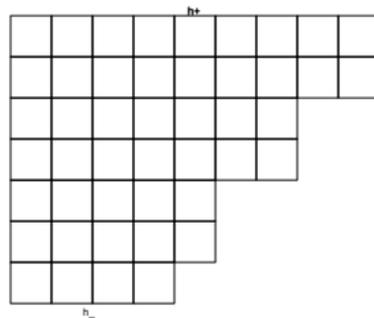
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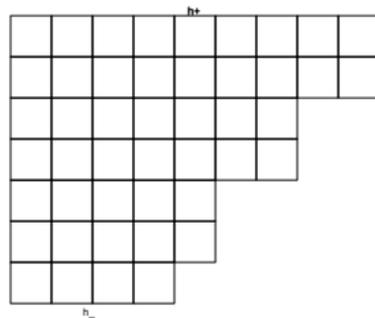
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- ▶ We see that the eigenvalue density is related to spread (support) of $u(h)$ i.e. width of Young distribution. Thus an upper cap on eigenvalue density puts restriction on spread of $u(h)$ or width of Young diagrams. Since, spread of Young distribution is identified with $2\pi\rho(\theta)$, we claim that

for CS-matter theory on $S^2 \times S^1$ the dominant representations have a Young distribution function with maximum spread $1/\lambda$.

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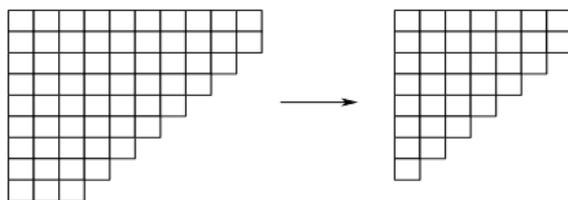
What does it mean ?

Constraint on Young diagrams for CS-M theory on $S^2 \times S^1$

[A. Chattopadhyay, P.Dutta, SD 2018]

What does it mean ?

- ▶ **Reduced Young diagrams** : The diagram on the right is obtained by taking conjugation of conjugation of the first diagram.



- ▶ **Conjugation of conjugation of any representation is the representation itself.**
- ▶ **Hence both the diagrams describe the same representation.**

Constraint on Young diagrams for CS-M theory on $S^2 \times S^1$

[A. Chattopadhyay, P.Dutta, SD 2018]

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Constraint on Young diagrams for CS-M theory on $S^2 \times S^1$

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[A. Chattopadhyay, P.Dutta, SD 2018]

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$$h_1 < k \quad \text{which implies} \quad h(0) < \frac{1}{\lambda}, \quad (h(0) = h_1/N).$$

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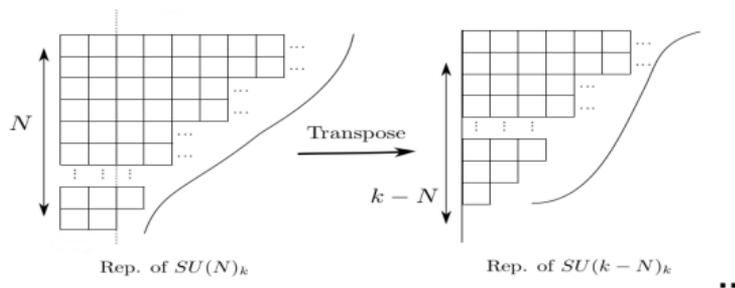
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- ▶ Eigenvalues are discrete with minimum spacing $2\pi/k$. This implies that corresponding reciprocal space (h space) is periodic and the size of first Brillouin zone is k ($h_{\max} = k$) - consistent with our claim.

Representation for upper-cap phase for CS-M theory

[A. Chattopadhyay, P.Dutta, SD 2018]

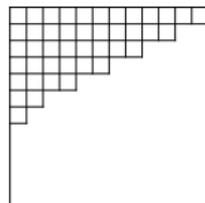
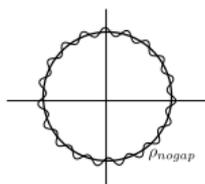
- ▶ We use the level rank duality to find the dominant representation for the upper cap.
- ▶ The Young diagrams for these representations are related to each other by transpositions i.e. the interchange of rows and columns.
- ▶ We know that Lower gap is mapped to upper cap via level rank duality.
- ▶ Therefore, the dominant representations for upper-cap phase can be obtained by transposing the dominant representations for the lower-gap phase.



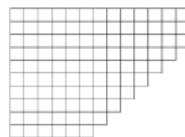
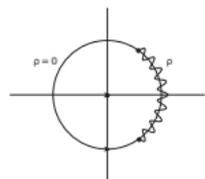
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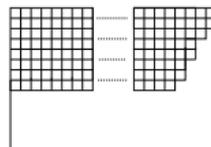
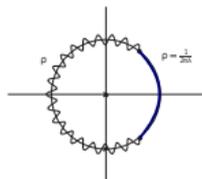
► No-gap phase



► One-gap phase



► Upper-cap phase



Outlook

- ▶ Constant phase space distribution is very similar to Thomas-Fermi (TF) model at zero temperature, where Wigner distribution is assumed to take constant value inside some region in phase space and zero elsewhere.
- ▶ We are yet to understand underlying many-body quantum mechanics associated with different large N phases of matrix model.
- ▶ It would also be interesting to understand correspondence between a low temperature fluctuation in a many body quantum system and fluctuations in interacting gauge theory.

$$\omega(h, \theta) = \frac{1}{e^{\beta(H(h, \theta) - \mu)} + 1}.$$

Outlook

- ▶ Chern-Simons gauge theory on S^3 is dual to topological closed string theory on resolved conifold (Gopakumar-Vafa). Does phase space description of CS theory will help us to understand a correspondence between topological string theory and quantum mechanics of many-body system ?
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Thank you